

Australian Rail, Tram & Bus Industry Union of Employees (Queensland Branch)

Annual Financial Disclosure Statement

Financial Year 2016

Division 2 Accounting Obligations Industrial Relations Act 1999 (the Act)

These documents are located on the RTBU website: <http://www.rtbu.com.au//default.asp>
Under Quick Links on the home page

Remuneration & benefits for highest paid officers

Section 557Q the Act

Highest Paid Officers*	(i) Expected Remuneration*	(ii) Paid Remuneration*	(iii) Non-Cash Benefit expected to be given*	(iv) Non-Cash Benefit Given*	(v) Amount Paid to Board Member*
None					

*

- (i) the remuneration expected to be paid to the officer in the year;
- (ii) the remuneration paid to the officer in the first 6 months of the year;
- (iii) any non-cash benefit, including the value of the benefit, expected to be given to the officer by the organisation, other than as remuneration, in the year;
- (iv) any non-cash benefit, including the value of the benefit, given to the officer by the organisation, other than as remuneration, in the first 6 months of the year;
- (v) any amount paid to the officer's capacity as a board member officer in the year, other than an amount paid to the officer for the costs of travel or accommodation for the officer to attend a board meeting.

Financial Registers

Division 2A of the Act

Cab Charge or Taxi Service Accounts: Section 557C of the Act

Card Issued to:	Financial Institution	Address
No cab cards or taxi service accounts currently issued		Level 1, 457 Upper Edward Street, Brisbane Qld 4000

Credit Card Accounts: Section 557C of the Act

Card Issued to:	Financial Institution	Address
No credit card accounts currently issued		Level 1, 457 Upper Edward Street, Brisbane Qld 4000

Personal credit card accounts with transactions paid for by ARTBIUEQ

Card issued to	Financial Institution
NONE	

Gift Register: Section 557A of the Act

Receiving Gift/ Hospitality Benefit				
Entity or Officer/ Employee gift was given to:	Gift provided by (who gave the gift, hospitality or benefit:	What was given?	Estimated Value	Date Given /Received
NONE				

Loans, Grants & Donations: Section 557E of the Act

Loan/ Grant or Donation	Amount	Reason for providing loan/ grant or donation	Name & address of person/ entity	Loan repayment arrangements
NONE				

Spending for Political Purposes

Section 557B of the Act

Nature of Political Spending	Amount of Political Spending	Political Object of Spending	Date of Authorising Expenditure Ballot
<i>(a) a political party or other political organisation; or (b) a political cause or belief</i>			
NONE			

Political Party Affiliation Fees

Section 557T of the Act

Political Party	Amount Paid
NONE	

Financial Policies

Section 557U of the Act

Name of the Policy	Description of the Policy	Date Policy Adopted	Date Policy last Reviewed
	THE ARTBIUE HAD NO ACCOUNTS FOR FINANCIAL YEAR, THEREFORE NO FINANCIAL POLICIES WERE REQUIRED AS THERE WAS NO FINANCIAL ACTIVITY DURING THE YEAR		

Officers' Financial Management Training

Section 557V of the Act

Surname	Given Names	Office	Date of Training
	NO ACCOUNTS SO NO OFFICERS NEEDED TRAINING		

Auditor's Report

The Auditor's report is attached.

Accounting Officer's Statement

The Statement of Accounting Officer is attached.

Committee of Management Statement

The Committee of Management Statement is attached.

INDEPENDENT AUDITOR'S REPORT TO THE MEMBERS OF
AUSTRALIAN RAIL TRAM AND BUS INDUSTRY UNION OF EMPLOYEES (QUEENSLAND
BRANCH)

I have audited the accompanying financial report of the Australian Rail Tram and Bus Industry Union of Employees (Queensland Branch) which comprises Statement of Assets & Liabilities at 31 December 2016 and Statement of Income and Expenditure.

Management's Responsibility for the Financial Report

Management is responsible for the preparation and fair presentation of the financial report in accordance with the Australian Accounting Standards and the Industrial Relations Act 1999 , and for such internal control as management determines is necessary to enable the preparation and fair presentation of a financial report that is free of material misstatement, whether due to fraud or error.

Auditor's Responsibility

My responsibility is to express an opinion on the financial report based on my audit. I conducted my audit in accordance with Australian Auditing Standards. Those standards require that I comply with relevant ethical requirements relating to audit engagements and plan and perform the audit to obtain reasonable assurance about whether the financial report is free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial report. The procedures selected depend on the auditor's judgement, including the assessment of the risks of material misstatement of the financial report, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial report in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control.

An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of accounting estimates made by management, as well as evaluating the overall presentation of the financial report.

I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my audit report.

Auditor Opinion

No transactions have occurred during the year ended 31 December 2016 or in prior years and I have relied on representation from the Organisation in this respect.

In my opinion:-

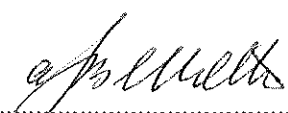
- (a) accordingly accounting records have not been kept by the Organisation in the absence of any financial transactions;
- (b) the accounts and statements under Sec 555 of the Industrial Relations Act 1999 have been properly drawn up so as to give a true and fair view of:
 - (i) the financial affairs of the Organisation as at 31 December 2016 and
 - (ii) the income and expenditure and any surplus or deficit of the Organisation for the year ended 31 December 2016 ;
- (c) the accounts have been prepared in accordance with applicable Australian Accounting Standards and the Industrial Relations Act 1999.
- (d) the financial disclosure statement and mid-year financial disclosure statement for the year were prepared in accordance with the Industrial Relations Act 1999; and
- (e) The Organisation has the policies it is required to have under S.553A(1) of the Industrial Relations Act 1999.

Basis of Accounting

The financial report is prepared for the purpose of fulfilling the financial reporting responsibilities. As a result, the financial report may not be suitable for another purpose.

Signed this *nineteenth* day of *May* 2017

Adrian J Bellotti
Level 6
Ladhope Chambers
131 Wickham Terrace
Brisbane Qld 4000


.....
Chartered Accountant

**AUSTRALIAN RAIL TRAM AND BUS INDUSTRY UNION OF EMPLOYEES (QUEENSLAND
BRANCH)**

STATEMENT OF ASSETS & LIABILITIES AS AT 31 DECEMBER 2016

Total Assets	\$	NIL ===
Total Liabilities	\$	NIL ===

No transactions occurred during year ended 31 December 2016, or in prior years.

**AUSTRALIAN RAIL TRAM AND BUS INDUSTRY UNION OF EMPLOYEES (QUEENSLAND
BRANCH)**

INCOME & EXPENDITURE ACCOUNT FOR YEAR ENDED 31 DECEMBER 2016

Income	\$ NIL ===
Expenses	\$ NIL ===
Surplus (Deficit) for year	\$ NIL ===

No transactions occurred during year ended 31 December 2016, or in prior years.



Australian Rail, Tram & Bus
Industry Union
(Qld Branch)

RAIL TRAM & BUS UNION

Level 1
457 Upper
Edward Street
Brisbane Q 4000

 Public
07 3839 4988
Public Fax
07 3832 1278

 QR
812423 / 812950
QR Fax
813504

 Email
info@rtbu.com.au

www.rtbu.com.au

11 May 2017

Adrian Bellotti
Chartered Accountant
Level 6, Ladhope
131 Wickham Terrace
BRISBANE QLD 4000

Dear Adrian

The Australian Rail, Tram & Bus Industry Union of Employees requires an audit of our financial statements under the Queensland State Legislation.

However, this body has no assets and no liabilities. Naturally, following on from this, I can provide you with a declaration that no financial business (either receipt of income or any expenditure) occurred in respect of this body in 2016 or, for that matter, several years prior to that.

Attached are the relevant statements in respect of income and expenditure as well as assets and liabilities.

Yours sincerely

Owen Doogan
RTBU State Secretary,
Queensland Branch

Statement of Assets & Liabilities as at 31 December 2016

NIL

**Statement of Income & Expenditure for the Year Ended 31
December 2016**

NIL

*Australian Rail, Tram & Bus Industry Union of Employees,
Queensland Branch*

Statement of Accounting Officer

I, *Owen Doogan*, being the officer responsible for keeping the accounting records of the Australian Rail, Tram & Bus Industry Union of Employees, Queensland Branch certify that as at 31 December 2016, the number of members of the Organisation was 6,189. The number of members who were:

- (a) Financial was 6,083
- (b) Un-Financial was 106

In my opinion:

- (i) The accompanying accounts show a true and fair view of the financial affairs of the Australian Rail, Tram & Bus Industry Union of Employees, Queensland Branch as at 31 December 2016
- (ii) A record has been kept which shows that no money has been collected or paid.
- (iii) No expenditure was incurred in this accounting period.
- (iv) With regard to funds of the Organisation raised by compulsory levies or voluntary contributions from members, or funds other than the General Fund operated in accordance with the rules, no payments were made out of any such fund.
- (v) No loans or other financial benefits were made to persons holding office in the Organisation.
- (vi) The register of members of the Australian Rail, Tram & Bus Industry Union of Employees, Queensland Branch was maintained in accordance with the Act; and
- (vii) The attached accounts have been prepared in accordance with applicable Australian Accounting Standards.

Accounting Officer



Date 30 May 2017

**Australian Rail, Tram and Bus Industry Union of
Employees, Queensland Branch**

Industrial Relations Act 1999

*Designated Officer's Certificate or other Authorised Officer
Certificate for the period ending 31 December 2016*

I *Owen Doogan* being the *State Secretary* of the *Australian Rail, Tram and Bus Industry Union of Employees, Queensland Branch* certify:

- that the documents lodged herewith are copies of the full report for the *Australian Rail, Tram and Bus Industry Union of Employees, Queensland Branch* for the period ended *31 December 2016* referred to in *Industrial Relations Act 1999*; and
- that the full report was presented to a *meeting of the Committee of Management* of the reporting unit on *20 May 2017* in accordance with *Industrial Relations Act 1999*; and
- that the *full report* was provided to members of the reporting unit on *29 May 2017*.

Signature of prescribed
designated officer



Name of prescribed
designated officer

Owen Doogan

Title of prescribed
designated officer

State Secretary, Queensland Branch

Date

30 May 2017

Australian Rail, Tram and Bus Industry Union of Employees, Queensland Branch

for the period ending 31 December 2016

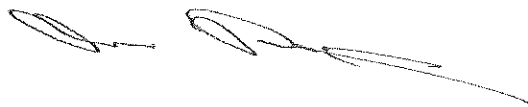

Committee of Management Statement

On 20 May 2017 the Branch Council of the *Australian Rail, Tram and Bus Industry Union of Employees, Queensland Branch* passed the following resolution in relation to the general purpose financial report (GPFR) for the period ended 31 December 2016.

The Branch Council declares that in its opinion:

- (a) the financial statements and notes comply with the Australian Accounting Standards – the reporting unit has no accounts;
- (b) the financial statements and notes comply with the reporting guidelines of the General Manager – the reporting unit has no accounts;
- (c) the financial statements and notes give a true and fair view of the financial performance, financial position and cash flows of the reporting unit for the financial year to which they relate – the reporting unit has no accounts;
- (d) there are reasonable grounds to believe that the reporting unit will be able to pay its debts as and when they become due and payable – the reporting unit has no accounts; and
- (e) during the financial year to which the GPFR relates and since the end of that year:
 - (i) meetings of the Branch Council were held in accordance with the rules of the organisation including the rules of a branch concerned; and
 - (ii) the financial affairs of the reporting unit have been managed in accordance with the rules of the organisation including the rules of a branch concerned; and
 - (iii) the financial records of the reporting unit have been kept and maintained in accordance with the Industrial Relations Act 1999 (Act) and Industrial Relations Regulations 2011 (Regulation); and
 - (iv) where the organisation consists of two or more reporting units, the financial records of the reporting unit have been kept, as far as practicable, in a consistent manner to each of the other reporting units of the organisation; and
 - (v) During the financial year, there have been no instances where records or rules, or copies of them, have not been given to members in accordance with the Industrial Relations Act 1999, the Industrial Relations Regulation 2011 or the rules of the union.
 - (vi) The Audit report and relevant accounts for the union’s 2016 financial year have been presented to a presentation meeting of the union under s565 of the Industrial Relations Act 1999 and given to the members under s566 of the Act.

This declaration is made in accordance with a resolution of the Branch Council.

Signature of designated officer		
Name of designated officer	Owen Doogan	Bruce Mackie
Title of designated officer	Branch Secretary	Branch President
Date	20 May 2017	20 May 2017